



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Maikap, et al.

: Attorney Docket No. 06-40208-US

Serial No.: 10/588,397

: Examiner: Not Yet Assigned

Filed: March 26, 2008

: Group Art Unit: 1614

For: A PROCESS FOR THE PREPARATION OF AN ANTI-TUMOR PLATINUM (II) - COMPLEX

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

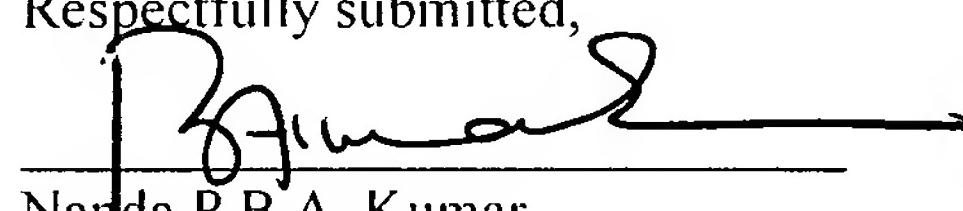
Dear Sir:

Applicant hereby requests correction of the attached Filing Receipt dated May 22, 2008, for the above-identified patent application. The Filing Receipt identifies applicant as "Dabur Research Foundation" of Gaziabad, India. The applicant should be "Dabur Pharma Limited" of New Delhi, India as indicated on the attached PCT/IB/306 forms and the attached executed Assignment. A marked-up copy of the Filing Receipt is attached.

Applicant hereby requests issuance of an updated Filing Receipt.

While it is believed that no fee is due in connection with this filing, the Commissioner is hereby authorized to charge any payment of fees or credit any over-payment associated with this application to Deposit Account No. 18-0586.

Respectfully submitted,

  
Nanda P.B.A. Kumar

Registration No. 44,853

REED SMITH LLP

2500 One Liberty Place

1650 Market Street

Philadelphia, PA 19103

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Attorney for Applicant

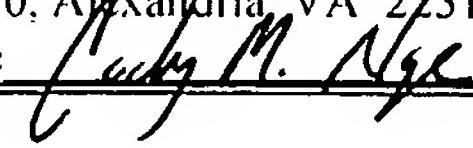
**EXPRESS MAIL CERTIFICATE (37 CFR 1.10)**

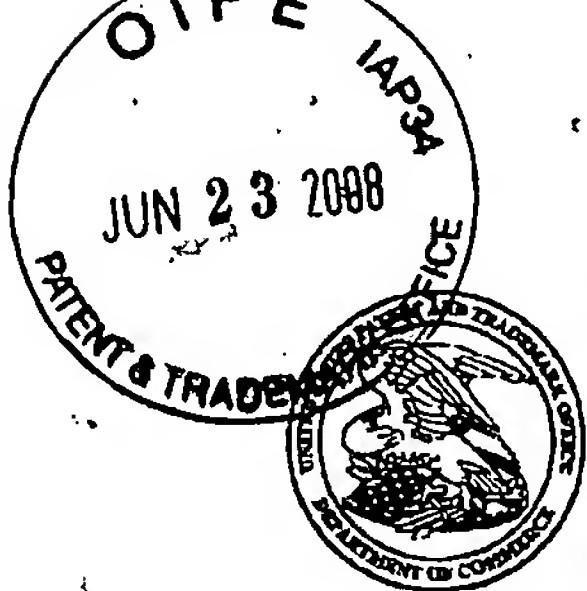
Express Mail Label No. EV 831192599 US

Date of Deposit June 23, 2008

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name Cody M. Nye

Signature 



6-24-08

RECEIPT  
IFW

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/588,397	03/26/2008	1614	1080	06-40208-US	21	1

CONFIRMATION NO. 8376

Louis M. Heidelberger  
Reed Smith  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103



OC000000030045993

## FILING RECEIPT

Date Mailed: 05/22/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

## Applicant(s)

Golak Chandra Maikap, Gaziabad, INDIA;  
Bhagat Raj, Gaziabad, INDIA;  
Pradipta Kumar, Gaziabad, INDIA;  
Kannan Vivekanandan, Gaziabad, INDIA;  
Chandrakant Belwal, Gaziabad, INDIA;

## Assignment For Published Patent Application

~~DABUR RESEARCH FOUNDATION, Gaziabad, INDIA~~*Dabur Pharma Limited,**New Delhi, India*

## Power of Attorney:

Louis Heidelberger--27899                    Todd Norton--48636  
Raymond Keogh--31173  
Matthew Esserman--41536  
Nanda Kumar--44853  
Thomas McWilliams--44930

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IN2004/000035 02/05/2004

## Foreign Applications

If Required, Foreign Filing License Granted: 05/20/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/588,397**

Projected Publication Date: 08/28/2008

Non-Publication Request: No

**Early Publication Request: No**  
**Title**

Process for the Preparation of an Anti-Tumor Platinum (II) - Complex

**Preliminary Class**

514

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

## **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

Date of mailing (day/month/year) 06 April 2006 (06.04.2006)
Applicant's or agent's file reference do104
International application No. PCT/IN2004/000035

From the INTERNATIONAL BUREAU

To:

SARMA, Krishna  
 Corporate Law Group  
 1106-1107 Kailash Building  
 26 KG Marg  
 New Delhi 110 001  
 India

## IMPORTANT NOTIFICATION

International filing date (day/month/year) 05 February 2004 (05.02.2004)
---

## 1. The following indications appeared on record concerning:

the applicant     the inventor     the agent     the common representative

Name and Address DABUR RESEARCH FOUNDATION 22, Site-IV Sahibabad Gaziabad 201 010 India	State of Nationality IN	State of Residence IN
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

the person     the name     the address     the nationality     the residence

Name and Address DABUR PHARMA LIMITED 22, Site-IV Sahibabad Gaziabad 201 010 India	State of Nationality IN	State of Residence IN
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 338.87.40	Authorized officer Jean-Luc MARTIN  Telephone No. (41-22) 338 9887
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## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

Date of mailing (day/month/year)

11 May 2006 (11.05.2006)

From the INTERNATIONAL BUREAU

To:

SARMA, Krishna  
 Corporate Law Group  
 1106-1107 Kailash Building  
 26 KG Marg  
 New Delhi 110 001  
 India

Applicant's or agent's file reference

do104

## IMPORTANT NOTIFICATION

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International filing date (day/month/year)

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1. The following indications appeared on record concerning:

 the applicant     the inventor     the agent     the common representative

Name and Address

DABUR PHARMA LIMITED  
 22, Site-IV  
 Sahibabad  
 Gaziabad 201 010  
 India

State of Nationality

IN

State of Residence

IN

Telephone No.

Facsimile No.

Teleprinter No.

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

 the person     the name     the address     the nationality     the residence

Name and Address

DABUR PHARMA LIMITED  
 3 Factory Road  
 Adjacent to Safdarjung Hospital  
 New Delhi 110029  
 India

State of Nationality

IN

State of Residence

IN

Telephone No.

Facsimile No.

Teleprinter No.

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland

Facsimile No. (41-22) 338.87.40

Authorized officer

HUYNH Lydia

Telephone No. (41-22) 338 9465

## ASSIGNMENT

WHEREAS, I the undersigned inventor, whose post office address is set forth below, have invented and own a certain invention entitled:

A PROCESS FOR THE PREPARATION OF AN ANTI-TUMOR PLATINUM (II)-COMPLEX  
for which an application for Letters Patent of the United States filed herewith; and

WHEREAS, **Dabur Pharma Limited**, existing under the laws of India and having a place of business at 3 Factory Road, Adjacent to Safdarjung Hospital, New Delhi 110029, India hereinafter referred to as ASSIGNEE is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

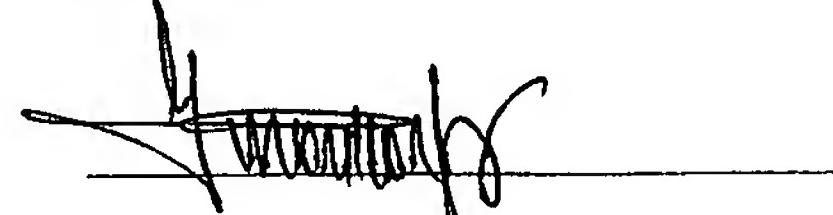
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention, the receipt and sufficiency of which is hereby acknowledged, in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

I FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and I will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 03 day of August, 2006.

Signature:



Name Printed:

Post Office Address:

Golak Chandra MAIKAP

STATE OF )  
              ) ss.  
COUNTY OF )

BE IT KNOWN, that on this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came Golak Chandra MAIKAP, known to me to be the person mentioned in and who executed the foregoing Assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 03 day of August, 2006.

Signature:



Name Printed:

Bhagat RAJ

Post Office Address:

STATE OF )  
              ) ss.  
COUNTY OF )

BE IT KNOWN, that on this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came Bhagat RAJ, known to me to be the person mentioned in and who executed the foregoing Assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 03 day of August, 2006.

Signature:

Pradipta KUMAR

Name Printed:

Pradipta KUMAR

Post Office Address:

STATE OF )  
              )  
COUNTY OF ) ss.  
              )

BE IT KNOWN; that on this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came Pradipta KUMAR, known to me to be the person mentioned in and who executed the foregoing Assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 03 day of Aug, 2006.

Signature:

Kannan

Name Printed:

Kannan VIVEKANANDAN

Post Office Address:

STATE OF )  
              )  
COUNTY OF ) ss.  
              )

BE IT KNOWN, that on this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came Kannan VIVEKANANDAN, known to me to be the person mentioned in and who executed the foregoing Assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 03 day of August,  
2006.

Signature:

Chandrakant

Name Printed:

Chandrakant BELWAL

Post Office Address:

STATE OF )  
              )  
COUNTY OF ) ss.  
              )

BE IT KNOWN, that on this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me personally came  
Chandrakant BELWAL, known to me to be the person mentioned in and who executed the foregoing Assignment,  
and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein  
mentioned.

\_\_\_\_\_  
Notary Public